

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Priority/First Class Mail in an envelope addressed to:

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

on : December 14, 2005

Application No.	10/530,511
Filing Date	April 6, 2005
First Named Inventor	Howarth
Attorney Docket No.	HOW1.005-US
Piece(s) transmitted herewith:	
Acknowledgment Postcard	1 piece(s)
This First Class Mail Certificate	1 piece(s)
Transmittal Form	1 piece(s)
Information Disclosure Statement	3 piece(s)
Foreign Patents	43 piece(s)
Total piece(s) this submission	49 piece(s)

Sworn Statement under 18 U.S.C. Section 1001

The undersigned, Katherine A. Diggins, hereby avers that all statements on this Certificate of Mailing are true pursuant to the provisions of and penalties under 18 U.S.C. Section 1001.

Katherine A. Diggins, assistant to Gerry J. Elman, Reg. no. 24,404



PTO/SB/21 (08-04)
Approved for use through 07/31/2008. OMB 0851-0031
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/530,511	
	Filing Date	April 6, 2005	
	First Named Inventor	Howarth	
	Art Unit	NA	
	Examiner Name	NA	
Total Number of Pages in This Submission	49	Attorney Docket Number	HOW1.005-US

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Certificate of Mailing, 1 piece;
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	Acknowledgment postcard, 1 piece;
2 sheets. <i>red</i>	<input type="checkbox"/> CD, Number of CD(s) _____	2 foreign references; <i>43</i> pieces <i>red</i>
<input type="checkbox"/> Landscaped Table on CD		
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	This Transmittal Sheet, 1 piece	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm Name	Elman Technology Law/P.C.	
Signature		
Printed name	Gerry J. Elman	
Date	December 14, 2005	Reg. No. 24,404

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.		
Signature		
Typed or printed name		Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

United States Patent Application No. 10/530,511
Confirmation Number 5770
First Name Inventor Howarth
Title Corrective Adjustment of Recording Characteristics in Digital
Renditions of Analog Recordings
GAU/Examiner NA
Attorney Docket HOW1.005-US

Via Priority Mail with Certificate of Mailing

MAIL STOP AMENDEMENTS

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 CFR § 1.56, applicant(s) submits herewith the identification of patents, publications or other information of which they are aware, which they believe may be material to patentability and in respect of which there may be a duty to disclose.

Applicant(s) respectfully requests that the information enclosed herein be considered by the examiner in the above captioned application and be made of record therein. The two foreign patents reported herein have been cited by the United Kingdom patent office on September 22, 2005, in a United Kingdom counterpart of the instant application.

Applicant(s) believes that the information enclosed herewith is submitted in accordance with 37 CFR Section 1.97(b). Accordingly, no fee is required for consideration of the information disclosure statement. However, any fee deficiency may be charged to deposit account 05-0845.

A list of the patent(s) and/or publication(s) is set forth on the attached Form PTO-1449. A copy of each foreign patent and/or nonpatent literature reference cited is enclosed herewith.

Inclusion of a reference in this Information Disclosure Statement is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such, nor that any cited reference discloses anything other than what is shown and

stated therein.

The filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(a) exists.

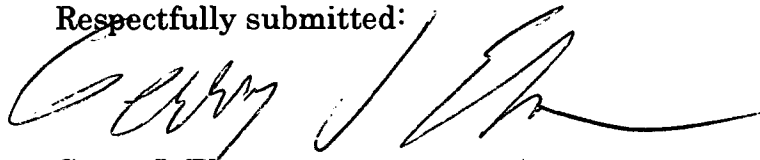
The filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b).

This statement is made by the attorney who signs below on the basis of the information supplied by the applicant(s) and the information in the attorney's file.

ACCOMPANYING THIS STATEMENT ARE:

- * Form PTO-1449 comprising 1 sheet(s)
- * a copy of each foreign/nonpatent literature document

Respectfully submitted:



Gerry J. Elman
Reg. 24,404
Customer no. 003775

December 14, 2005

Phone: 610-892-9942
efax: 925-226-4995
email: gerry@elman.com

Substitute for Form 1449/F-788

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

PTO/SB/08A (07-05)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Complete if Known

Application Number	10/530,511
Filing Date	April 6, 2005
First Named Inventor	Howarth
Art Unit	NA
Examiner Name	NA
Attorney Docket Number	HOW1.005-US

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
/DM/		GB 1,204,527	09/09/1970	IBM		
/DM/		GB 2,012,527 A	07/25/1979	Kokusai Gijutsu Kalhatsu		
				Co. Ltd.		

**Examiner
Signature**

/Dismery Mercedes/

Date Considered

03/25/2009

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.